

REPRESENTATIONS OF $\text{Aff}(\mathbf{F}_q)$ AND $\text{Heis}(\mathbf{F}_q)$

KEITH CONRAD

For each prime power q , we will construct all irreducible representations over \mathbf{C} of the groups $\text{Aff}(\mathbf{F}_q)$ and $\text{Heis}(\mathbf{F}_q)$. To find all of them, there are three parts:

- Build as many irreducible representations as the number of conjugacy classes.
- Check a representation is irreducible by checking its character has inner product 1 with itself (1-dimensional representations are automatically irreducible).
- Check irreducible representations that are not 1-dimensional are nonisomorphic by checking their characters are different (distinct 1-dimensional representations are automatically nonisomorphic).

1. REPRESENTATIONS OF $\text{Aff}(\mathbf{F}_q)$

Let F be any field. In $\text{Aff}(F)$, the group law is

$$\begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a' & b' \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} aa' & ab' + b \\ 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix}^{-1} = \begin{pmatrix} 1/a & -b/a \\ 0 & 1 \end{pmatrix}.$$

Two subgroups of $\text{Aff}(F)$ are

$$\left\{ \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} : a \neq 0 \right\}, \quad \left\{ \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} : b \in F \right\},$$

which are isomorphic to F^\times and F as groups. Matrices in $\text{Aff}(F)$ decompose into a product of elements in these subgroups as

$$\begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix},$$

and the formula in alphabetical order doesn't quite work:

$$\begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} a & ab \\ 0 & 1 \end{pmatrix}.$$

Conjugation in $\text{Aff}(F)$ is

$$\begin{pmatrix} x & y \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x & y \\ 0 & 1 \end{pmatrix}^{-1} = \begin{pmatrix} a & bx - y(a-1) \\ 0 & 1 \end{pmatrix}$$

In particular, $\text{Aff}(F)$ has trivial center unless $F = \mathbf{F}_2$, in which case $\text{Aff}(F)$ is abelian.

Here are the conjugacy classes in $\text{Aff}(F)$:

- the identity matrix

$$\left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right\},$$

- the set

$$\left\{ \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} : b \in F^\times \right\},$$

- for each $a \in F$ with $a \neq 0$ and $a \neq 1$, the set

$$\left\{ \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} : b \in F \right\}.$$

So in $\text{Aff}(\mathbf{F}_q)$ there are a total of $1 + 1 + (q - 2) = q$ conjugacy classes and thus there are q irreducible representations of $\text{Aff}(\mathbf{F}_q)$ over \mathbf{C} .

One-dimensional representations: Since the upper left entry in $\text{Aff}(\mathbf{F}_q)$ behaves multiplicatively in the group law, for each homomorphism $\chi: \mathbf{F}_q^\times \rightarrow \mathbf{C}^\times$ we get a one-dimensional representation $\text{Aff}(\mathbf{F}_q) \rightarrow \mathbf{C}^\times$ by

$$\begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \mapsto \chi(a).$$

Since \mathbf{F}_q^\times is cyclic of order $q - 1$, there are $q - 1$ such χ , so we get $q - 1$ one-dimensional representations of $\text{Aff}(\mathbf{F}_q)$.

Remaining irreducible representation: From the count of conjugacy classes there is one more irreducible representation of $\text{Aff}(\mathbf{F}_q)$. Letting d denote its degree, from $q - 1 + d^2 = |\text{Aff}(\mathbf{F}_q)| = q(q - 1)$ we get $d = q - 1$, so we seek a $(q - 1)$ -dimensional representation.

Consider the complex vector space V of functions $f: \mathbf{F}_q \rightarrow \mathbf{C}$. This is q -dimensional. Let each $g \in \text{Aff}(\mathbf{F}_q)$ act on V as a linear change of variables using g^{-1} : $(\rho_V(g)f)(x) = f(g^{-1}x)$. We need g^{-1} rather than g in the formula to get $\rho_V(gh) = \rho_V(g)\rho_V(h)$. Explicitly,

$$(\rho_V \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} f)(x) = f \left(\frac{1}{a}x - \frac{b}{a} \right).$$

The constant functions in V form a one-dimensional subspace on which $\text{Aff}(\mathbf{F}_q)$ acts trivially. Another $\text{Aff}(\mathbf{F}_q)$ -stable subspace of V is

$$W = \left\{ f \in V : \sum_{x \in \mathbf{F}_q} f(x) = 0 \right\}$$

and $\rho_V = \rho_W \oplus 1$, where ρ_W is the restriction of ρ_V to W . The dimension of W is $q - 1$.

To show W is irreducible, we compute its character from that of V : $\chi_V = \chi_W + 1$. A basis of V is the q delta-functions $\delta_t: \mathbf{F}_q \rightarrow \mathbf{C}$ for $t \in \mathbf{F}_q$, where $\delta_t(x)$ is 0 for $x \neq t$ and $\delta_t(t) = 1$. Since $\rho_V \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \delta_t = \delta_{at+b}$, the matrix for $\rho_V \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix}$ with respect to the delta-basis of V is a permutation matrix based describing how $t \mapsto at + b$ permutes \mathbf{F}_q . Thus

$$\chi_V \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} = \#\{t \in \mathbf{F}_q : at + b = t \text{ in } \mathbf{F}_q\} = \begin{cases} 1, & \text{if } a \neq 1, \\ q, & \text{if } a = 1 \text{ and } b = 0, \\ 0, & \text{if } a = 1 \text{ and } b \neq 0. \end{cases}$$

Therefore

$$\chi_W \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} = \chi_V \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} - 1 = \begin{cases} 0, & \text{if } a \neq 1, \\ q - 1, & \text{if } a = 1 \text{ and } b = 0, \\ -1, & \text{if } a = 1 \text{ and } b \neq 0. \end{cases}$$

The inner product of χ_W with itself is

$$\frac{1}{q(q-1)} \sum_g \chi_W(g) \overline{\chi_W(g)} = \frac{1}{q(q-1)} ((q-1)^2 + (q-1)(-1)^2) = \frac{(q-1)^2 + (q-1)}{q(q-1)} = 1,$$

so W is irreducible. It is a new irreducible representation since it's not 1-dimensional, except if $q = 2$, in which case ρ_W is nontrivial while the single one-dimensional representation constructed earlier ($q - 1 = 1$ if $q = 2$) is trivial (note $\text{Aff}(\mathbf{F}_2) \cong \mathbf{F}_2$).

2. REPRESENTATIONS OF $\text{Heis}(\mathbf{F}_q)$

For a field F , the group law in the Heisenberg group $\text{Heis}(F)$ is

$$(1) \quad \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & a' & b' \\ 0 & 1 & c' \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & a+a' & b+b'+ac' \\ 0 & 1 & c+c' \\ 0 & 0 & 1 \end{pmatrix}$$

with inverse formula

$$\begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix}^{-1} = \begin{pmatrix} 1 & -a & -b+ac \\ 0 & 1 & -c \\ 0 & 0 & 1 \end{pmatrix}.$$

Three subgroups of $\text{Heis}(F)$ are

$$\left\{ \begin{pmatrix} 1 & a & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} : a \in F \right\}, \quad \left\{ \begin{pmatrix} 1 & 0 & b \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} : b \in F \right\}, \quad \left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} : c \in F \right\},$$

which are each isomorphic to F as groups. Note the subset

$$\left\{ \begin{pmatrix} 1 & a & 0 \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} : a, c \in F \right\}$$

is *not* a subgroup of $\text{Heis}(F)$ since it's not closed under multiplication.

Matrices in $\text{Heis}(F)$ decompose into a product of matrices in the three subgroups as

$$(2) \quad \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & b \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & a & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

while it doesn't quite work in alphabetical order:

$$\begin{pmatrix} 1 & a & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & b \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & a & b+ac \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix}.$$

Conjugation in $\text{Heis}(F)$ is

$$\begin{pmatrix} 1 & x & y \\ 0 & 1 & z \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & x & y \\ 0 & 1 & z \\ 0 & 0 & 1 \end{pmatrix}^{-1} = \begin{pmatrix} 1 & a & b-az+cx \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix}.$$

In particular, the center of $\text{Heis}(F)$ is

$$\left\{ \begin{pmatrix} 1 & 0 & b \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} : b \in F \right\}.$$

Here are the conjugacy classes in $\text{Heis}(F)$:

- for each $b \in F$, the single matrix

$$\left\{ \begin{pmatrix} 1 & 0 & b \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \right\},$$

- for each pair $(a, c) \in F^2 - \{(0, 0)\}$, the set

$$\left\{ \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} : b \in F \right\}.$$

When $F = \mathbf{F}_q$, there are $q + (q^2 - 1) = q^2 + q - 1$ conjugacy classes, and thus $q^2 + q - 1$ irreducible representations of $\text{Heis}(\mathbf{F}_q)$ over \mathbf{C} .

One-dimensional representations: Let $\psi: \mathbf{F}_q \rightarrow \mathbf{C}^\times$ be a nontrivial homomorphism.

An example is $\psi(x) = e^{2\pi i \text{Tr}_{\mathbf{F}_q/\mathbf{F}_p}(x)}$, where \mathbf{F}_q has characteristic p and $\text{Tr}_{\mathbf{F}_q/\mathbf{F}_p}: \mathbf{F}_q \rightarrow \mathbf{F}_p$ is the trace map. (If $q = p$ then $\psi: \mathbf{F}_p \rightarrow \mathbf{C}^\times$ by $\psi(x) = e^{2\pi i x/p}$.) Since the a and c terms of a matrix in $\text{Heis}(\mathbf{F}_q)$ combine additively under matrix multiplication, for each $(a, c) \in \mathbf{F}_q^2$ there is a representation $\psi_{a,c}: \text{Heis}(\mathbf{F}_q) \rightarrow \mathbf{C}^\times$ given by

$$\psi_{a,c} \begin{pmatrix} 1 & x & y \\ 0 & 1 & z \\ 0 & 0 & 1 \end{pmatrix} = \psi(ax + cz).$$

Check as an exercise that $\psi_{a,c}$ changes if the pair (a, c) changes, so we have q^2 irreducible representations of degree 1.

Remaining irreducible representations: We need $q - 1$ more irreducible representations, and their degrees $\{d_i\}$ must satisfy $q^2 + \sum d_i^2 = |\text{Heis}(\mathbf{F}_q)| = q^3$, so $\sum d_i^2 = q^3 - q^2 = (q - 1)q^2$. We will find $q - 1$ nonisomorphic irreducible representations with degree q .

Let V , as before, be the q -dimensional vector space of functions $f: \mathbf{F}_q \rightarrow \mathbf{C}$. We will define three actions of \mathbf{F}_q on V , and composing them in a suitable order will give an action of the group $\text{Heis}(\mathbf{F}_q)$ on V . For $a, b, c \in \mathbf{F}_q$, define the linear maps $\sigma_a: V \rightarrow V$, $\tau_b: V \rightarrow V$, and $\varphi_c: V \rightarrow V$ by

$$(\sigma_a f)(x) = f(x + a), \quad (\tau_b f)(x) = \psi(b)f(x), \quad (\varphi_c f)(x) = \psi(cx)f(x),$$

where $\psi: \mathbf{F}_q \rightarrow \mathbf{C}^\times$ is the same function we used to build one-dimensional representations above. For $t \in \mathbf{F}_q$, note τ_t multiplies each function in V by the *number* $\psi(t)$ while φ_t multiplies each function in V by the *function* $\psi(tx)$.

Check $\sigma_a \circ \sigma_{a'} = \sigma_{a+a'}$, $\tau_b \circ \tau_{b'} = \tau_{b+b'}$, and $\varphi_c \circ \varphi_{c'} = \varphi_{c+c'}$ as functions $V \rightarrow V$, so $a \mapsto \sigma_a$, $b \mapsto \tau_b$, $c \mapsto \varphi_c$ are actions of \mathbf{F}_q on V by linear maps. Check τ_b commutes with both σ_a and φ_c , while σ_a and φ_c commute with each other up to scaling by a root of unity:

$$(3) \quad \sigma_a \circ \tau_b = \tau_b \circ \sigma_a, \quad \varphi_c \circ \tau_b = \tau_b \circ \varphi_c, \quad \sigma_a \circ \varphi_c = \psi(ac)\varphi_c \circ \sigma_a = \tau_{ac} \circ \varphi_c \circ \sigma_a.$$

Using (3), the 3-fold composites $\sigma_a \circ \tau_b \circ \varphi_c$ compose as follows:

$$\begin{aligned} (\sigma_a \circ \tau_b \circ \varphi_c) \circ (\sigma_{a'} \circ \tau_{b'} \circ \varphi_{c'}) &= \sigma_a \circ \tau_b \circ (\varphi_c \circ \sigma_{a'}) \circ \tau_{b'} \circ \varphi_{c'} \\ &= \sigma_a \circ \tau_b \circ (\tau_{-a'c} \circ \sigma_{a'} \circ \varphi_c) \circ \tau_{b'} \circ \varphi_{c'} \\ &= \sigma_a \circ \tau_{b-a'c} \circ \sigma_{a'} \circ \tau_{b'} \circ \varphi_c \circ \varphi_{c'} \\ &= \sigma_a \circ \sigma_{a'} \circ \tau_{b-a'c} \circ \tau_{b'} \circ \varphi_c \circ \varphi_{c'} \\ &= \sigma_{a+a'} \circ \tau_{b+b'-a'c} \circ \varphi_{c+c'}. \end{aligned}$$

This is almost like the way matrices in $\text{Heis}(\mathbf{F}_q)$ multiply in (1), and it would match matrix multiplication if $\tau_{b+b'-a'c}$ were $\tau_{b+b'+ac'}$. Matrices in $\text{Heis}(\mathbf{F}_q)$ decompose in (2) using reverse alphabetical order, which suggests looking at $\varphi_c \circ \tau_b \circ \sigma_a$ instead. By calculations as above,

$$\begin{aligned} (\varphi_c \circ \tau_b \circ \sigma_a) \circ (\varphi_{c'} \circ \tau_{b'} \circ \sigma_{a'}) &= \varphi_c \circ \tau_b \circ (\sigma_a \circ \varphi_{c'}) \circ \tau_{b'} \circ \sigma_{a'} \\ &= \varphi_c \circ \tau_b \circ (\tau_{ac'} \circ \varphi_{c'} \circ \sigma_a) \circ \tau_{b'} \circ \sigma_{a'} \\ &= \varphi_c \circ \tau_{b+ac'} \circ \varphi_{c'} \circ \tau_{b'} \circ \sigma_a \circ \sigma_{a'} \\ &= \varphi_c \circ \varphi_{c'} \circ \tau_{b+ac'} \circ \tau_{b'} \circ \sigma_a \circ \sigma_{a'} \\ &= \varphi_{c+c'} \circ \tau_{b+b'+ac'} \circ \sigma_{a+a'}. \end{aligned}$$

This is exactly how matrices in $\text{Heis}(\mathbf{F}_q)$ multiply, so we get a q -dimensional representation ρ_ψ of $\text{Heis}(\mathbf{F}_q)$ on V by

$$g = \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \mapsto \rho_\psi(g) = \varphi_c \circ \tau_b \circ \sigma_a.$$

As a formula, for $f \in V$

$$(\rho_\psi(g)f)(x) = (\varphi_c \tau_b \sigma_a f)(x) = \psi(cx)(\tau_b \sigma_a f)(x) = \psi(cx)\psi(b)f(x+a) = \psi(cx+b)f(x+a).$$

Let's compute the character of ρ_ψ . Using the basis $\{\delta_t : t \in \mathbf{F}_q\}$ of V , we have

$$g = \begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \implies (\rho_\psi(g)\delta_t)(x) = \psi(cx+b)\delta_t(x+a) = \begin{cases} \psi(c(t-a)+b), & \text{if } x = t-a, \\ 0, & \text{if } x \neq t-a, \end{cases}$$

so

$$\rho_\psi(g)\delta_t = \psi(c(t-a)+b)\delta_{t-a}.$$

- If $a \neq 0$, the matrix of $\rho_\psi(g)$ with respect to the delta-basis has 0's on the main diagonal, so $(\text{Tr } \rho_\psi)(g) = 0$.
- If $a = 0$, the matrix of $\rho_\psi(g)$ with respect to the delta-basis has diagonal entries $\psi(ct+b)$, so $(\text{Tr } \rho_\psi)(g) = \sum_{t \in \mathbf{F}_q} \psi(ct+b)$, which is $q\psi(b)$ if $c = 0$ and 0 if $c \neq 0$.

Thus the character χ_ψ of ρ_ψ is

$$\chi_\psi \left(\begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix} \right) = \begin{cases} q\psi(b), & \text{if } a = c = 0, \\ 0, & \text{otherwise} \end{cases}$$

so the inner product of χ_ψ with itself is

$$\frac{1}{q^3} \sum_g \chi_\psi(g) \overline{\chi_\psi(g)} = \frac{1}{q^3} \sum_{b \in \mathbf{F}_q} q\psi(b) \overline{q\psi(b)} = \frac{1}{q} \sum_{b \in \mathbf{F}_q} 1 = 1.$$

We have a q -dimensional irreducible representation ρ_ψ for each nontrivial homomorphism $\psi: \mathbf{F}_q \rightarrow \mathbf{C}^\times$. There are $q-1$ choices for ψ . To prove the ρ_ψ 's for different ψ are nonisomorphic, we show the characters of the ρ_ψ 's are different. This follows from the formula

$$\psi(b) = \frac{1}{q} \chi_\psi \left(\begin{pmatrix} 1 & 0 & b \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \right),$$

which shows we can recover ψ from the character of ρ_ψ . (Alternatively, check for different nontrivial ψ_1 and ψ_2 that the inner product of the characters of ρ_{ψ_1} and ρ_{ψ_2} is 0.)

More on the irreducible representations of $\text{Aff}(\mathbf{F}_q)$ and $\text{Heis}(\mathbf{F}_q)$ is in [1, Chap. 16–18].

REFERENCES

- [1] A. Terras, “Fourier Analysis on Finite Groups and Applications,” Cambridge Univ. Press, 1999.