

# Embeddings of Computable Structures

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## Theorem

*If  $\tau$  is an infinite order type, then  $\tau$  has a subset of order type  $\omega$  or  $\omega^*$ .*

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## Theorem (Lerman [7]; Rosenstein [8])

*If  $\mathcal{L}$  is a computable presentation of an infinite order type  $\tau$ , then  $\mathcal{L}$  has a computable subset of order type  $\omega$ ,  $\omega^*$ ,  $\omega + \omega^*$ , or  $\omega + \eta \cdot \zeta + \omega^*$ .*

*Moreover, all of these order types are necessary.*

# Constructing $\omega + \omega^*$

## Theorem (Denisov [3]; Tennenbaum [8])

*There is a computable presentation of the order type  $\omega + \omega^*$  having no computable subset of order type  $\omega$  or  $\omega^*$ .*

## Proof.

Construct a computable presentation of the order type  $\omega + \omega^*$  meeting, for each  $e$ , the following requirement  $\mathcal{R}_e$ .

$\mathcal{R}_e$ : *If  $W_e$  is infinite, then  $W_e \not\subseteq \omega$  and  $W_e \not\subseteq \omega^*$ .*

Meet  $\mathcal{R}_e$  by putting one element of  $W_e$  into  $\omega$  and one element into  $\omega^*$ . To facilitate this, maintain a virtual *fence* indicating the current boundary between  $\omega$  and  $\omega^*$ . □

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Start

0 2 4 ...

... 5 3 1

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See  $2, 4 \in W_0$

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Work towards  $\omega + \omega^*$

0 2 7 9 ...

... 8 6 4 5 3 1

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See  $3, 5 \in W_2$

0 2 7 9 ...

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See  $6, 8 \in W_2$

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See  $7, 9 \in W_1$

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After  $7, 9 \in W_1$

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# The Questions

## Question (#1)

Are there computable order types  $\tau_1$  and  $\tau_2$  having computable presentations  $\mathcal{L}_1$  and  $\mathcal{L}_2$  such that  $\mathcal{L}_1$  does not computably embed into  $\mathcal{L}_2$ ?

## Question (#2)

Are there computable order types  $\tau_1$  and  $\tau_2$  such that  $\mathcal{L}_1$  does not computably embed into  $\mathcal{L}_2$  for any computable presentations  $\mathcal{L}_1$  and  $\mathcal{L}_2$ ?

## Generalizing Question #2

### Remark

Of course, there is no reason attention should be restricted to the context of linear orders.

### Question

If  $\mathcal{C}$  is a class of computable algebraic structures, are there  $\bar{\mathcal{S}}_1, \bar{\mathcal{S}}_2 \in \mathcal{C}$  such that  $\mathcal{S}_1$  does not computably embed into  $\mathcal{S}_2$  for any computable presentations  $\mathcal{S}_1$  and  $\mathcal{S}_2$ ?

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# Embeddings of Directed Graphs

## Theorem (Kach and Miller [6])

*If  $\mathcal{C}$  is the class of computable directed graphs, then there are structures  $\bar{S}_1$  and  $\bar{S}_2$  in  $\mathcal{C}$  such that for no hyperarithmetic presentations of  $S_1$  and  $S_2$  does  $S_1$  hyperarithmetically embed into  $S_2$ .*

## Definition

If  $T \subseteq \omega^{<\omega}$  is any tree, define  $\mathcal{G}_T$  to be the directed graph whose universe is

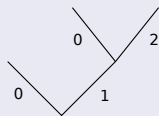
$$\{z_\sigma : \sigma \in T\} \cup \{x_{\sigma \smallfrown i,0}, \dots, x_{\sigma \smallfrown i,i}, y_{\sigma \smallfrown i,0}, \dots, y_{\sigma \smallfrown i,i} : \sigma \smallfrown i \in T\}$$

and whose edge relations include  $E(z_\sigma, x_{\sigma \smallfrown i,0})$ ,  $E(z_\sigma, y_{\sigma \smallfrown i,0})$ ,  $E(x_{\sigma \smallfrown i,j}, x_{\sigma \smallfrown i,j+1})$ ,  $E(y_{\sigma \smallfrown i,j}, y_{\sigma \smallfrown i,j+1})$ ,  $E(x_{\sigma \smallfrown i,i}, z_{\sigma \smallfrown i})$ , and  $E(y_{\sigma \smallfrown i,i}, z_{\sigma \smallfrown i})$  for  $\sigma \in T$ ,  $\sigma \smallfrown i \in T$ , and  $0 \leq j < i$ .

# Embeddings of Directed Graphs (Continued...)

## Example

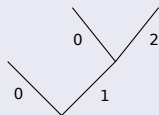
If  $T$  is as on the left, then  $\mathcal{G}_T$  is as on the right.



# Embeddings of Directed Graphs (Continued...)

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## Proof.

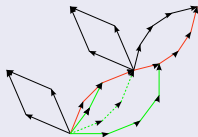
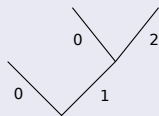
Let  $\bar{\mathcal{S}}_1$  be the graph of exactly one (directed) infinite path and let  $\bar{\mathcal{S}}_2$  be the graph  $\mathcal{G}_T$  where  $T \subseteq \omega^{<\omega}$  is a computable tree with infinite paths but no hyperarithmetic paths.

Then  $\bar{\mathcal{S}}_1$  classically embeds into  $\bar{\mathcal{S}}_2$ , but there cannot be hyperarithmetic presentations with a hyperarithmetic embedding. For if there was a hyperarithmetic embedding  $\pi$  between hyperarithmetic presentations, there would be a hyperarithmetic path in  $T$ . □

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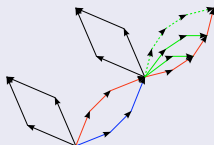
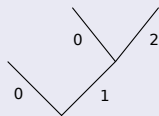
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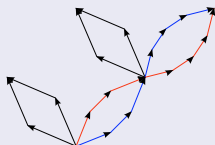
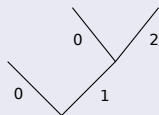
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## Corollary (With Hirschfeldt, Khoushainov, Shore, and Slinko [4])

*If  $\mathcal{C}$  is the class of computable*

- *Directed graphs,*
- *Undirected graphs,*
- *Commutative rings,*
- *Two-step nilpotent groups,*
- *Integral domains, or*
- *Commutative semigroups,*

*then there are structures  $\bar{S}_1$  and  $\bar{S}_2$  in  $\mathcal{C}$  such that for no hyperarithmetic presentations  $S_1$  and  $S_2$  does  $S_1$  hyperarithmetically embed into  $S_2$ .*

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## Remark

Of particular (and natural) interest are the special cases when  $\mathcal{L}_1 = \eta$  and  $\mathcal{L}_1 = \omega^*$ .

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## Proposition

*The order type  $\eta$  is computably categorical.*

*The standard presentation of the order type  $\omega^*$  computably embeds into any computable presentation of the order type  $\omega^*$ .*

# Embeddings of Linear Orders (Non-Scattered)

## Theorem (Kach and Miller [6])

*There is a computable non-scattered order type  $\tau_\eta$  that is intrinsically hyperarithmetically scattered, i.e., there is a computable order type  $\tau_\eta$  into which the rationals embed, but for which the rationals do not hyperarithmetically embed into any hyperarithmetic presentation of  $\tau_\eta$ .*

## Definition

Fix a computable bijection  $f : \omega^{<\omega} \rightarrow \omega$ . If  $T \subseteq \omega^{<\omega}$  is any tree, define order types  $\tau_\sigma$  for  $\sigma \in \omega^{<\omega}$  via corecursion by

$$\tau_\sigma = \omega + f(\sigma) + \zeta + \left( \sum_{\substack{i \in \omega \\ \sigma \hat{\ } i \in T}} \tau_{\sigma \hat{\ } i} \right)^* + \left( \sum_{\substack{i \in \omega \\ \sigma \hat{\ } i \in T}} \tau_{\sigma \hat{\ } i} \right) + \zeta + f(\sigma) + \omega^*.$$

Let  $\tau_T$  be the order type  $\tau_\epsilon$ , where  $\epsilon$  denotes the empty string.

# Embeddings of Linear Orders (Non-Scattered)

## Definition

$$\tau_\sigma = \omega + f(\sigma) + \zeta + \left( \sum_{\substack{i \in \omega \\ \sigma \cap i \in T}} \tau_{\sigma \cap i} \right)^* + \left( \sum_{\substack{i \in \omega \\ \sigma \cap i \in T}} \tau_{\sigma \cap i} \right) + \zeta + f(\sigma) + \omega^*.$$

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$$\tau_T = \tau_\epsilon = \omega + f(\epsilon) + \zeta + \left( \tau_0 + \tau_1 \right)^* + \left( \tau_0 + \tau_1 \right) + \zeta + f(\epsilon) + \omega^*$$

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$$\tau_1 = \omega + f(1) + \zeta + \left( \tau_{10} + \tau_{12} \right)^* + \left( \tau_{10} + \tau_{12} \right) + \zeta + f(1) + \omega^*$$

# Embeddings of Linear Orders (Non-Scattered) (Continued...)

## Theorem (Kach and Miller [6])

*There is a computable non-scattered order type  $\tau_\eta$  that is intrinsically hyperarithmetically scattered.*

## Proof.

Let  $T \subseteq \omega^{<\omega}$  be a computable tree with infinite paths but no hyperarithmetic paths. Then  $\tau_T$  is computable as the definition of  $\tau_\sigma$  depended only on knowing whether  $\sigma \cap i \in T$ . Also  $\tau_T$  is non-scattered as  $T$  had an infinite path. Finally  $\tau_T$  is intrinsically hyperarithmetically scattered as a  $(\Delta_4^0(\mathcal{L}_T) \oplus \pi)$ -computable path in  $T$  can be recovered from a hyperarithmetic embedding  $\pi : \eta \rightarrow \mathcal{L}_T$ . □

# Embeddings of Linear Orders (Non-Well-Ordered)

## Theorem (Kach and Miller [6])

*There is a computable, non-well-ordered order type  $\tau_{\omega^*}$  that is intrinsically computably well-ordered, i.e., there is a computable order type  $\tau_{\omega^*}$  into which the negative integers embed, but for no computable presentation of  $\tau_{\omega^*}$  do the negative integers computably embed.*

## Definition (Montalbán)

If  $F : \omega \rightarrow \omega$  is any function, define  $\tau_F$  to be the order type

$$\dots + \omega^n \cdot F(n) + \dots + \omega^2 \cdot F(2) + \omega \cdot F(1) + F(0)$$

## Proof (Sketch).

Show that, for carefully chosen  $\emptyset^{(\omega)}$ -computable functions  $F$ , the order type  $\tau_F$  is not computable but  $\tau_{\omega^*} := \omega^\omega + \tau_F$  is computable.  $\square$

# Embeddings of Linear Orders (Non-Well-Ordered) (Continued...)

## Proof (Sketch).

Demonstrate that a function  $F : \omega \rightarrow \omega$  is  $\Delta_{(2n+1)}^0$ -limit infimum (equivalently  $\Delta_{(2n+2)}^0$ -limitwise monotonic) if and only if the linear order  $\omega^\omega + \mathcal{L}_F$  is computable. Note that the forwards direction is difficult; the backwards direction is relatively straightforward.

Also demonstrate the existence of such a function  $F$  for which  $\mathcal{L}_F$  is not computable by diagonalizing against all linear orders that look “like”  $\mathcal{L}_F$  for some function  $F$ . Note that higher priority strategies have access to more powerful oracles, and can thus determine the success or failure of lower priority strategies. □

# Embeddings of Linear Orders (Non-Well-Ordered) (Continued...)

## Corollary

*For each computable ordinal  $\alpha$ , there is a computable, non-well-ordered order type that is intrinsically  $\emptyset^{(\alpha)}$ -computably well-ordered.*

## Proof.

Relativizing the construction of  $\tau_{\omega^*}$ , build a  $\emptyset^{(\alpha)}$ -computable presentation that is intrinsically  $\emptyset^{(\alpha)}$ -computably well-ordered. Then  $\omega^\alpha \cdot \tau_{\omega^*}$  is computable and still intrinsically  $\emptyset^{(\alpha)}$ -computably well-ordered. □

# Embeddings of Linear Orders (Non-Well-Ordered) (Continued...)

## Theorem (Harrison)

*If a presentation of a computable, non-well-ordered linear order has no hyperarithmetic descending sequence, then it has the form  $\omega_1^{\text{CK}}(1 + \eta) + \beta$  for some computable ordinal  $\beta$ .*

## Corollary

*There is no computable, non-well-ordered linear order that is intrinsically hyperarithmetically well-ordered.*

## Theorem (Calvert, Kach, Levin, and Solomon [2])

*If  $\mathcal{C}$  is the class of computable ordered fields, then there are structures  $\bar{S}_1$  and  $\bar{S}_2$  in  $\mathcal{C}$  such that for no hyperarithmetic presentations  $S_1$  and  $S_2$  does  $S_1$  hyperarithmetically embed into  $S_2$ .*

# Embeddings of Ordered Fields

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## Definition

An ordered field  $\mathcal{F} = (F : +, \cdot, 0, 1, <)$  is a field  $(F : +, \cdot, 0, 1)$  with an order  $<$  that behaves as it should.

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## Definition

If  $\tau$  is any order type, define  $\bar{\mathcal{F}}_\tau$  to be the ordered field whose universe is generated by  $\mathbb{Q} \cup \{x_i : i \in \tau\}$  and whose order is generated by  $x_i^m <_{\bar{\mathcal{F}}_\tau} x_j^n$  if  $i <_\tau j$  as well as  $q < x_i$ .

# Embeddings of Ordered Fields (Continued...)

## Theorem (Calvert, Kach, Levin, and Solomon [2])

If  $\mathcal{C}$  is the class of computable ordered fields, then there are structures  $\bar{\mathcal{S}}_1$  and  $\bar{\mathcal{S}}_2$  in  $\mathcal{C}$  such that for no hyperarithmetic presentations  $\mathcal{S}_1$  and  $\mathcal{S}_2$  does  $\mathcal{S}_1$  hyperarithmetically embed into  $\mathcal{S}_2$ .

## Proof.

The ordered fields  $\bar{\mathcal{F}}_\eta$  and  $\bar{\mathcal{F}}_{\tau_\eta}$  suffice. In order to (somewhat) effectively recover an embedding of  $\eta$  into  $\tau_\eta$  from a hyperarithmetic embedding of  $\mathcal{F}_\eta$  into  $\mathcal{F}_{\tau_\eta}$ , use *Archimedean power classes*. □

# Embeddings of Trees (Viewed as Posets)

## Definition

A *tree* is a partial order  $(T : \prec)$  with least element such that for all  $x \in T$ , the set  $\{y \in T : y \prec x\}$  is finite and linearly ordered.

## Theorem (Binns, Kjos-Hanssen, Lerman, Schmerl, Solomon [1])

*There is an infinite computable binary branching tree  $S$  with no isolated paths such that any nontrivial self-embedding computes  $\mathbf{0}''$ .*

## Corollary

*If  $\mathcal{C}$  is the class of computable trees, then there are structures  $\bar{S}_1$  and  $\bar{S}_2$  in  $\mathcal{C}$  such that for no computable presentations  $S_1$  and  $S_2$  does  $S_1$  computably embed into  $S_2$ .*

# Embeddings of Equivalence Relations

## Theorem (Calvert, Kach, Levin, and Solomon [2])

*If  $\mathcal{C}$  is the class of computable equivalence structures, for all structures  $\bar{\mathcal{E}}_1$  and  $\bar{\mathcal{E}}_2$  in  $\mathcal{C}$ , there are computable presentations  $\mathcal{E}_1$  and  $\mathcal{E}_2$  such that  $\mathcal{E}_1$  computably embeds into  $\mathcal{E}_2$ .*

## Proof.

If  $\bar{\mathcal{E}}_2$  has bounded character, then  $\bar{\mathcal{E}}_1$  has bounded character and the result is immediate.

If  $\bar{\mathcal{E}}_2$  has unbounded character but only finitely many infinite equivalence classes, a finite injury argument suffices to build computable presentations  $\mathcal{E}_1$  and  $\mathcal{E}_2$  and a computable embedding between them.

Finally, if  $\bar{\mathcal{E}}_2$  has infinitely many infinite equivalence classes, then the addition of countably more infinite equivalence classes provides a place for the image of  $\bar{\mathcal{E}}_1$ . □

# Embeddings of Boolean Algebras

## Theorem (Calvert, Kach, Levin, and Solomon [2])

*If  $\mathcal{C}$  is the class of computable Boolean algebras, for all structures  $\overline{\mathcal{B}}_1$  and  $\overline{\mathcal{B}}_2$  in  $\mathcal{C}$ , there are computable presentations  $\mathcal{B}_1$  and  $\mathcal{B}_2$  such that  $\mathcal{B}_1$  computably embeds into  $\mathcal{B}_2$ .*

## Proof.

If  $\overline{\mathcal{B}}_2$  is superatomic, then  $\overline{\mathcal{B}}_1$  is superatomic and the result is immediate.

If  $\overline{\mathcal{B}}_2$  is not superatomic, then there is a computable ordinal  $\alpha$  such that

$$\overline{\mathcal{B}}_2 \cong \overline{\mathcal{B}}_2 \oplus \text{IntAlg}(\omega^\alpha(1 + \eta))$$

as a consequence of work by Ketonen. There is a nice presentation of the latter into which the countable atomless Boolean algebra (and thus  $\mathcal{B}_1$ ) computably embeds. □

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- 5 Summary and Questions

## Remark

Thus far, we have been considering pairs of structures  $\bar{\mathcal{S}}_1$  and  $\bar{\mathcal{S}}_2$  such that  $\bar{\mathcal{S}}_1$  classically embeds into  $\bar{\mathcal{S}}_2$ . Until now, we have concerned ourselves with attempting to make sure *no* embedding is computable for *any* computable presentations  $\mathcal{S}_1$  and  $\mathcal{S}_2$ .

If this is possible, it is natural to ask what further restrictions are necessary before such a phenomena is no longer possible.

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By restricting the computable presentations to *fixed* computable presentations but allowing the embedding to vary, we arrive at Question #1.

By restricting the embedding to a *fixed* embedding but allowing the presentations to vary, we arrive at new questions.

## More Questions (Cont...)

### Question (#3)

If  $\mathcal{C}$  is a class of computable algebraic structures, are there structures  $\overline{\mathcal{S}}_1$  and  $\overline{\mathcal{S}}_2$  in  $\mathcal{C}$  and presentations  $\mathcal{S}_1 \subseteq \mathcal{S}_2$  such that for no automorphism  $\pi : \mathcal{S}_2 \rightarrow \mathcal{S}_2$  is  $\pi(\mathcal{S}_1)$  computably enumerable?

### Question (#4)

If  $\mathcal{C}$  is a class of computable algebraic structures, are there structures  $\overline{\mathcal{S}}_1$  and  $\overline{\mathcal{S}}_2$  in  $\mathcal{C}$  and presentations  $\mathcal{S}_1 \subseteq \mathcal{S}_2$  such that for no automorphism  $\pi : \mathcal{S}_2 \rightarrow \mathcal{S}_2$  is  $\pi(\mathcal{S}_1)$  computable?

# Remarks on the Third and Fourth Question

## Remark

Note that a positive answer to Question #2 trivially implies a positive answer to Question #3 and Question #4.

## Remark

If a class of computable algebraic structures has a positive answer to Question #3 or Question #4 but a negative answer to Question #2, then it “is not” possible to code into isomorphism types but it “is” possible to code within how an isomorphism type fits inside another isomorphism type in a fixed manner.

## Separating Question #2 and Question #3

### Proposition (Calvert, Kach, Levin, and Solomon [2])

*If  $\mathcal{C}$  is the class of computable equivalence structures, Boolean algebras, or abelian  $p$ -groups (of length below  $\omega^2$ ), then  $\mathcal{C}$  has a positive answer to Question #3 and a negative answer to Question #2.*

### Proof.

For equivalence structures, let  $\bar{\mathcal{S}}_1$  and  $\bar{\mathcal{S}}_2$  be the equivalence structure with exactly one class of every finite size and no infinite equivalence class. Have  $\mathcal{S}_1$  be the substructure of  $\mathcal{S}_2$  where the class of size  $i$  in  $\mathcal{S}_1$  is a subset of the class of size  $2i$  in  $\mathcal{S}_2$  if  $i \in S$  and a subset of the class of size  $2i + 1$  otherwise.

For Boolean algebras, let  $\bar{\mathcal{S}}_1$  be  $\text{IntAlg}(\omega)$  and  $\bar{\mathcal{S}}_2$  be  $\text{IntAlg}(\omega^2)$ . Have  $\mathcal{S}_1$  be a substructure of  $\mathcal{S}_2$  such that there is an atom of  $\mathcal{S}_1$  bounding exactly  $i$  atoms in  $\mathcal{S}_2$  if and only if  $i \in S$ . □

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## Remark

The (computable) embedding phenomena happens when  $\mathcal{C}$  is the class of computable

- Directed graphs (or any universal class).
- Linear orders.
- Ordered fields.
- Trees.

The embedding phenomena fails to happen when  $\mathcal{C}$  is the class of computable

- Equivalence structures.
- Boolean algebras.

# Questions

## Question

Does Question #2 have a positive answer when  $\mathcal{C}$  is the class of computable fields? When  $\mathcal{C}$  is the class of computable abelian  $p$ -groups?

Does Question #2 have a positive answer at the hyperarithmetical level when  $\mathcal{C}$  is the class of computable trees?

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## Question

(Conjecture) Is there a computable, non-scattered linear order that is intrinsically computably well-ordered?

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## Question

(Conjecture) Is there a computable, non-scattered linear order that is intrinsically computably well-ordered?

## Question

Is there, for every computable order type  $\tau_1$ , a computable order type  $\tau_2$  such that for no computable presentations  $\mathcal{L}_1$  and  $\mathcal{L}_2$  does  $\mathcal{L}_1$  computably embed into  $\mathcal{L}_2$ ?

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